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Software Engineering Conference in Russia

Power Battle: Windows 7 vs. Windows 8

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Agenda

- Motivation for power analysis tools
- Methodology of application-centric analysis
- Compute-intensive application case study
- Results and conclusions

Our Goal

- Give SW developers a **power analysis tool** to:
 - Uncover various factors affecting power consumption
 - Map power consumption back to SW categories we can easily control/change/improve
 - Adapt SW accordingly
 - Choose wisely between various OS and HW
 - Make OS/HW manufacturers feel the (increasing) pressure from SW developers for power efficiency

Optimization through Adaptation

HW active power and thermal management capabilities (frequency, voltage, turboboosting) SW can adapt by changing its thread synchronization scheme and by proactively disabling certain OS policies

OS idle power management policies (use of power states, various thresholds and heuristics)

OS active power

management policies

(frequency)

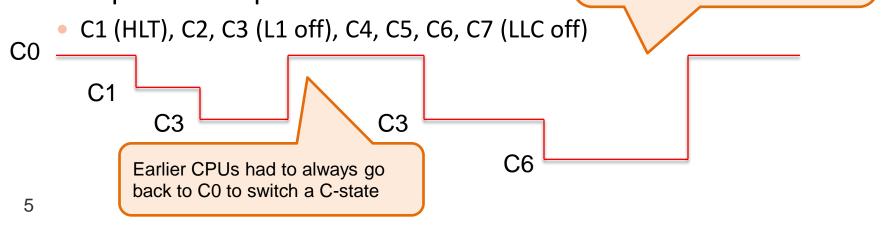
HW idle power management capabilities (power-efficient sleep states)

> OS scheduler (determines the layout of active/idle periods)

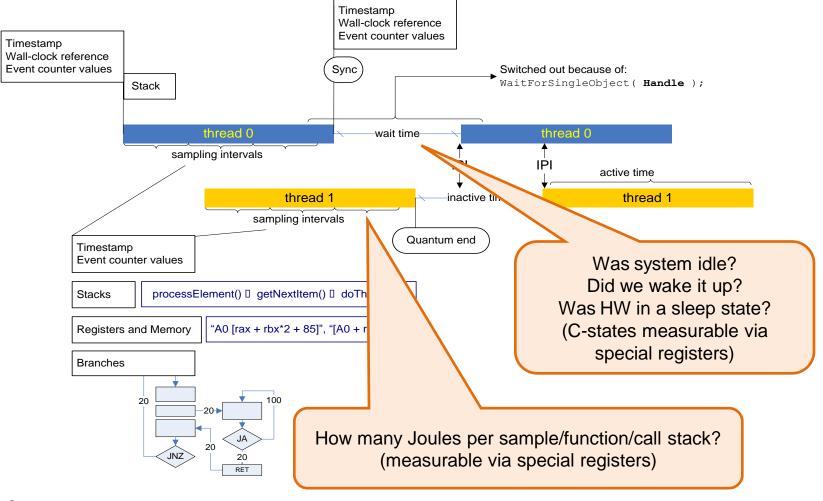
CPU Power Management Capabilities

- Active power consumption
 - Various clock frequency management techniques:
 - SpeedStep[®], thermal, clock modulation, turbo boost, platform specific frequency management
- Idle power consumption
 - Low power sleep states:

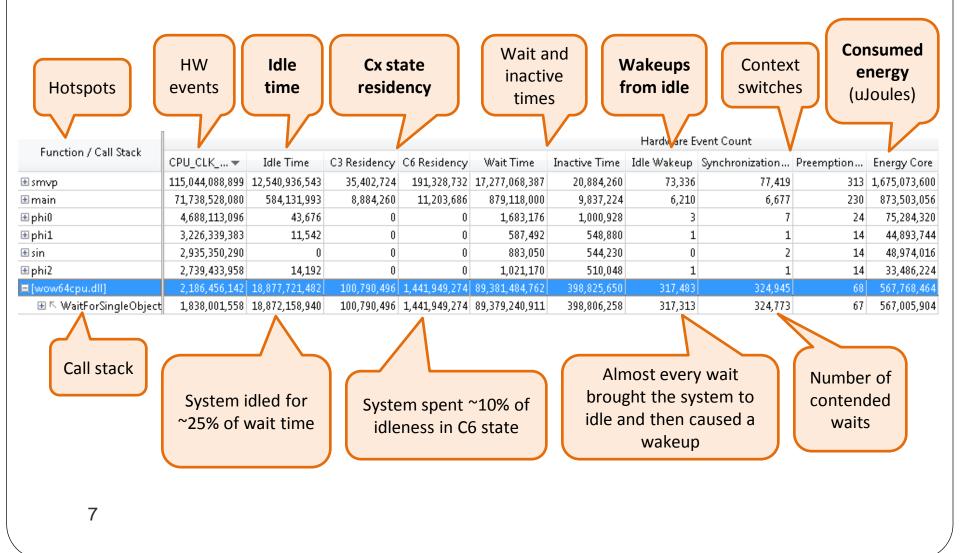
Going to and back from sleep isn't free, so CPU should stay in certain C-states longer than some threshold to save energy



Inside Intel VTune Amplifier XE 2013



Can Learn a Lot about an App



The Setup

- Hardware:
 - Ultrabook ASUS UX31
 - Processor: Intel[®] Core[™]i5 (architecture code name Sandy Bridge)
- Operating Systems:
 - Microsoft[®] Windows[™] 7
 - Microsoft[®] Windows[™] 8
- Workload:
 - SPEC OMP 2001 (equake)
- Toolset:
 - Intel[®] VTune[™] Amplifier XE 2013
- Measurement:
 - Performance, Parallelism, and Power profile measured when the system was plugged in to a wall power outlet and when unplugged.

Win7	Win8
(plugged)	(plugged)
high	high
performance	performance
Win7	Win8
(unplugged)	(unplugged)
power saving	power saving

The Code

• The workload is a set of "omp parallel for" loops:

```
#pragma omp parallel
Ł
  . . .
                                       Parallel compute-
  #pragma omp for
                                        intensive work
  for (i = 0; i < nodes; i++)</pre>
  {
  #pragma omp for
                                          Implicit barriers
  for (...) {...} ←
}
   4
#pragma omp parallel for
for (...) {...}
```



Comparable performance, synchronization and wakeup rates, and wait and idle times

• Windows 7:

Evention (Coll Charle						н	ardware Event	Count				
Function / Call Stack	CPU_CLK_UNHALTED.REF	Synchronization	Wait Time	Preemption	Inactive Time	Idle Time	Idle Wakeup	C3 Residency	C6 Residency	C7	Energy Core	Energy Pack
±smvp	65,858,146,948	701	75,892,169	8,147	343,805,810	25,075,626	404	0	0	0	494,107,456	631,878,912
⊞main	44,246,591,832	1,530	136,388,763	4,727	174,958,627	117,682,926	195	0	0	0	301,621,888	378,513,632
⊞phi0	2,906,827,552	105	17,175,531	489	18,036,931	14,962,974	11	0	0	0	25,703,664	32,133,568
⊞phi1	2,436,319,657	65	7,028,001	486	17,436,612	171,737	13	0	0	0	18,225,312	22,765,584
±sin	2,293,976,379	30	3,948,898	336	10,264,319	11,437	1	0	0	0	19,854,240	24,278,320
⊞phi2	2,095,978,790	50	5,762,332	341	12,170,082	113,360	7	0	0	0	14,350,368	17,876,080
±cos	1,146,987,773	32	4,507,593	131	3,981,643	0	0	0	0	0	9,493,376	11,602,592
⊡ [wow64cpu.dll]	1,201,480,848	160,270	113,136,826,781	606	119,356,796	12,973,154,185	131,807	14,822,317	337,361,855	0	162,106,208	205,559,008
□ [▷] WaitForSingleObjectEx	1,087,380,945	160,002	113,132,634,788	558	118,107,436	12,945,639,995	131,536	14,297,680	337,361,855	0	161,714,368	205,062,688

Huge (185x) preemption rate on Win7 – scheduler impact may be an issue!

• Windows 8:

Eventing (Collicity de						H	lardware Event (Count				
Function / Call Stack	CPU_CLK_UNHALTED.REF	Synchronization	Wait Time	Preemption	Inactive Time	Idle Time	Idle Wakeup	C3 Residency	C6 Residency	C7	Energy Core	Energy Pack
	65,639,877,454	67	44,460,225	44	2,602,580	94,395,484	51	0	0	0	487,146,688	627,699,456
⊞main	35,461,767,134	75	53,885,710	25	1,118,420	463, 159, 994	53	0	0	0	248,825,968	317,258,256
. ⊕phi0	2,853,363,113	8	6,646,765	2	97,193	70,569,916	6	0	0	0	21,559,232	27,505,440
⊞phi1	2,333,129,113	7	4,266,551	1	34,992	1,169,381	6	0	0	0	15,826,672	19,953,488
±sin	2,320,403,899	6	4,508,294	2	55,354	7,556,239	1	0	0	0	19,763,808	24,357,760
⊞phi2	2,156,482,725	5	3,686,998	0	0	2,265,369	2	0	0	0	13,930,112	17,477,808
⊕cos	1,151,186,314	3	2,060,889	4	188,665	5,577,843	3	0	0	0	7,968,400	9,839,920
⊡ [wow64cpu.dll]	865,063,989	135,345	108,875,907,210	18	1,591,663	13,922,381,309	131,231	5,018,944	61,139,718	0	2,163,098,368	2,768,271,904
⊡ NaitForSingleObjectEx	732,920,541	134,351	108,760,371,179	16	1,548,002	13,772,361,544	130,265	4,040,050	50,515,330	0	161,800,992	206,871,600
	732,920,541	134,350	108,760,361,126	16	1,548,002	13,772,332,767	130,264	4,040,050	50,515,330	0	161,800,992	206,871,600
						R		7				

Low utilization of idle time in C-states (Win7: ~2.5% and Win8: 0.4%)!

10

Preemptions increase with execution time

• Windows 7:

Wait, inactive, and idle times increase proportionally to execution time

Evention / Coll Shade		Hardware Event Count													
Function / Call Stack	CPU_C	CLK_UNHALTED.REF	Synchronization	Wait Time	Preemption	Inactive Time	Idle Time	Idle Wakeup	C3 Residency	C6	C7 Residency	Energy Core	Energy Pack		
± smvp		189,143,596,589	2,747	488,909,634	24,589	2,282,631,392	106,263,587	1,314	0	0	0	291,717,728	601,620,080		
±main		117,471,694,271	826	448,003,382	16,962	1,384,387,807	293,114,305	570	0	0	0	170,730,720	349,275,984		
±phi0		8,962,726,689	56	45,981,134	1,343	109,441,953	3,133,244	35	0	0	0	14,874,752	30,398,064		
⊞phi1		7,370,069,806	58	50,620,828	1,121	89,769,680	1,119,949	28	0	0	0	11,139,712	22,733,568		
±sin		6,445,857,921	21	18,341,365	938	74,209,484	34,896,212	28	0	0	0	10,270,384	20,832,800		
±phi2		5,976,469,493	35	16,381,693	1,043	83,754,692	646,423	19	0	0	0	8,734,304	17,771,744		
⊡ [wow64cpu.dll]		4,268,535,185	207,228	351,501,919,369	1,000	1,861,783,033	46,357,260,484	133,007	2,295,463,981	0	9,576,407,904	70,335,376	148,942,288		
🖃 🔨 WaitForSingleObjectEx		3,929,622,692	206,997	351,486,398,032	928	1,856,242,946	46,334,813,232	132,816	2,295,413,695	0	9,576,387,946	70,148,448	148,542,352		

Win7 lowers CPU frequency (>2X) and runs slower

Unplugged

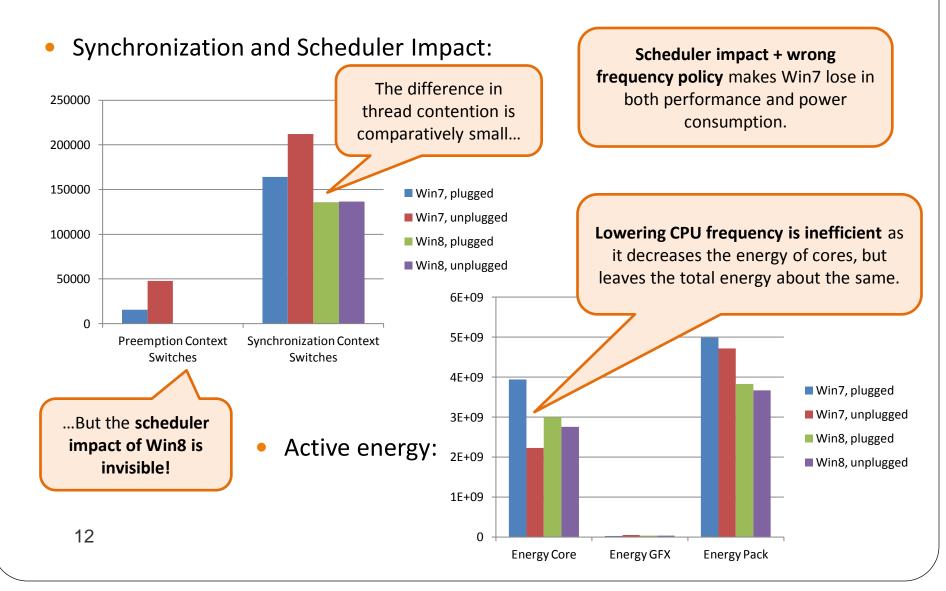
Both systems now go deeper to C7, but Win7 residency soars (up to 25%) with the increase of idle time

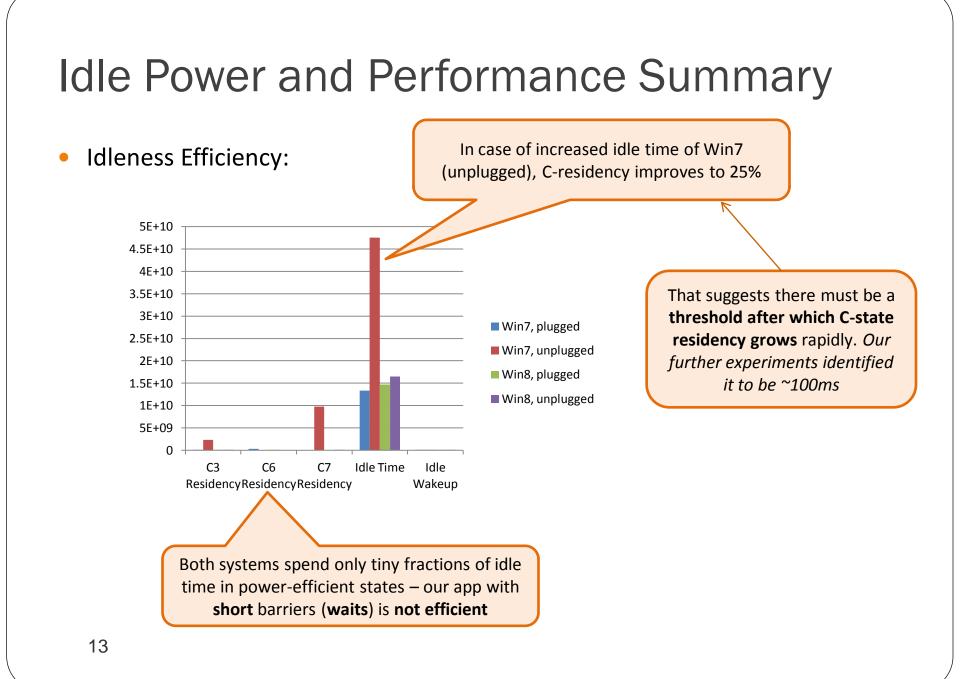
• Windows 8:

Europhica / Coll Charle		Hardware Event Count													
Function / Call Stack	CPU_CLK_UNHALTED.REF	Synchronization	Wait Time	Preemption	Inactive Time	Idle Time	Idle Wakeup	C3 Residency	C6	C7 Residency	Energy Core	Energy Pack			
±smvp	77,874,931,355	77	48,628,685	47	2,345,803	194,806,417	79	0	0	0	476,003,472	637,084,928			
⊡main	39,895,663,002	95	68,079,868	33	1,301,617	78,110,749	81	0	0	0	234,958,688	310,592,544			
⊡phi0	3,214,308,133	13	11,637,321	3	127,504	5,977,985	8	0	0	0	18,366,192	24,252,528			
±sin	2,412,673,337	4	3,110,470	0	0	3,555,254	4	0	0	0	18,584,400	23,320,352			
⊞phi1	2,561,238,190	7	5,599,290	3	116,610	93,302,012	4	0	0	0	14,850,992	19,331,488			
⊞phi2	2,294,957,483	6	4,260,051	3	143,578	3,853,087	7	0	0	0	12,816,944	16,555,808			
+ cos	1,199,322,664	5	3,665,838	1	42,664	586,782	4	0	0	0	7,639,552	9,616,752			
⊡ [wow64cpu.dll]	1,043,353,104	136,270	116,839,871,998	34	17,725,818	16,038,320,123	131,899	51,314,024	0	18,345,210	1,960,873,840	2,607,995,984			
□ [¬] WaitForSingleObjectEx	929,222,040	135,246	116,713,157,019	31	17,343,833	15,874,186,902	130,899	50,294,092	0	16,145,189	138,339,456	184,956,784			
∃ WaitForSingleObject	929,222,040	135,243	116,713,122,920	31	17,343,833	15,874,103,881	130,897	50,294,092	0	16,145,189	138,339,456	184,956,784			

Wakeups depend more on thread interaction logic and do not change

Active Power and Performance Summary





Conclusion

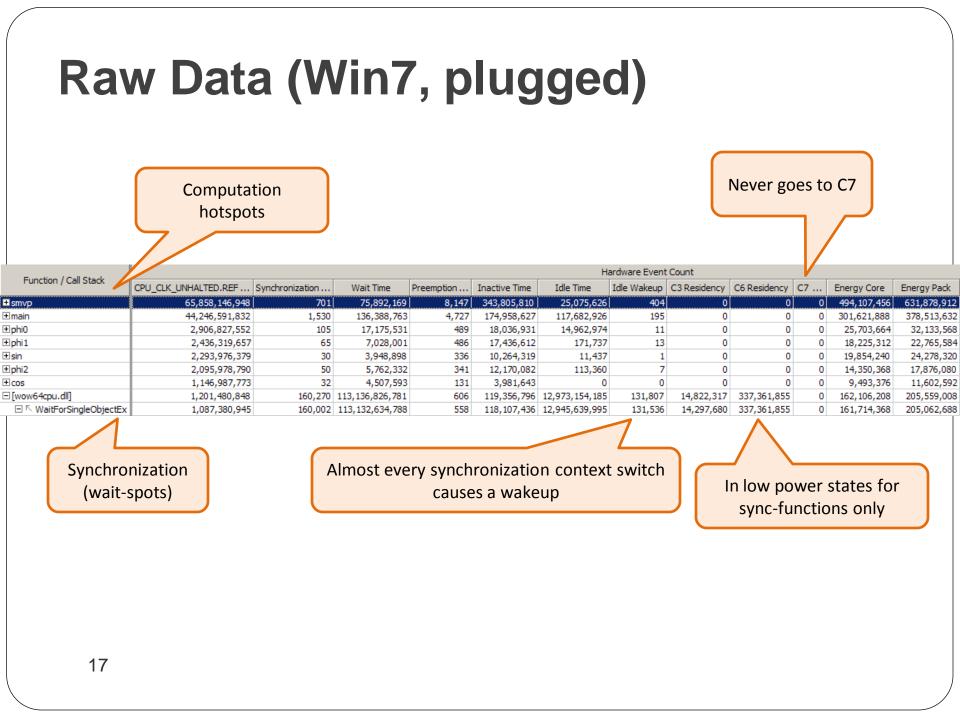
- Windows 7 sliced and diced our app with preemptions and lowered the CPU frequency to complete the torture
 - Do not lower CPU freq. for compute-intensive apps
 - Win8 scheduler is less intrusive
- Short sleeps are inefficient as CPU hardly goes to lower-power states
 - Eliminate sleeps in compute-apps, or
 - Sleep >100ms to let the system spend
 90+% of idleness in low-power states

Backup

- Raw Data
- Comparison Summaries

Raw Data (Win7, plugged)

Elapsed Time: [©] 26.309	s 🗈 🗾 To	otal time
CPU Time: ⁽²⁾ 74.11 Paused Time: ⁽²⁾	3s Os	
🕥 Hardware Events 🗈		
Hardware Event Type	Hardware Event Count	C3 and C6 power state residencies, no C7,
C3 Residency	28,313,959	occupy only a minor fraction of Idle Time
C6 Residency	342,505,528	
CPU_CLK_UNHALTED.REF_TSC	126,000,108,394	CLK.THREAD > CLK.REF, running at frequency boost
CPU_CLK_UNHALTED.THREAD	178,301,267,813	CER. THINEAD > CER. NET, Turning at frequency boost
Energy Core	3,940,082,336	
Energy GFX	22,126,240	
Energy Pack	4,986,034,128	Energy (u-Joules) spent on active work
INST_RETIRED.ANY	169,701,867,171	
Idle Time	13,336,958,869	
Idle Wakeup	133,660	Too many wakeups, hence average idle time is
Inactive Time	719,261,694	under 100k clocks
Preemption Context Switches	15,459	
Synchronization Context Switches	164,053	
Wait Time	113,631,176,608	Mind the number of preemptions



Raw Data (Win7, unplugged)

Elapsed Time: [®]	77.912s 🗈		
CPU Time: ⁽²⁾	209.115s	The workload slowed down 3 times	
Paused Time: 🕐	0s		

💫 Hardware Events 🗈

Hardware Event Type	Hardware Event Count	
C3 Residency	2,316,840,869	
C7 Residency	9,779,195,226	
CPU_CLK_UNHALTED.REF_TSC	355,495,346,099	
CPU_CLK_UNHALTED.THREAD	167,771,320,557	
Energy Core	2,266,090,192	
Energy GFX	53,459,328	
Energy Pack	4,719,726,464	
INST_RETIRED.ANY	170,023,332,312	
Idle Time	47,559,097,878	
Idle Wakeup	135,923	
Inactive Time	5,949,887,028	
Preemption Context Switches	47,789	
Synchronization Context Switches	212,131	
Wait Time	355,245,117,690	

The processor goes to C7, skipping C6

CPU frequency dropped ~2.12x

Gained ~1.8x core power saving

But only 5% of total CPU power saving

Preemptions and wait time increased proportionally to the total execution time

Rav	w Data	a (V	Vin7	7, u	np	lug	ge (d) Now sl	kips (C6		
Function / Call Stack						F	lardware Even	t Count	/			
Fulliculor / Call Stack	CPU_CLK_UNHALTED.REF	Synchronization	Wait Time	Preemption	Inactive Time	Idle Time	Idle Wakeup	C3 Residency	C6	C7 Residency	Energy Core	Energy Pack
± smvp	189,143,596,589	2,747	488,909,634	······	2,282,631,392			0	0	0	291,717,728	601,620,080
± main	117,471,694,271	826	448,003,382		1,384,387,807	293,114,305		0	-	0	170,730,720	349,275,984
±phi0	8,962,726,689	56	45,981,134	1,343		3,133,244	35		-	0	14,874,752	30,398,064
±phi1	7,370,069,806	58	50,620,828	1,121		1,119,949	28	0	0	0	11,139,712	22,733,568
±sin	6,445,857,921	21			74,209,484	34,896,212	28	0	-	0	10,270,384	20,832,800
∃phi2	5,976,469,493	35				646,423	19	0	-	0	8,734,304	17,771,744
⊡ [wow64cpu.dll]	4,268,535,185		351,501,919,369			46,357,260,484		2,295,463,981		9,576,407,904	70,335,376	148,942,288
□ [▶] WaitForSingleObjectEx	3,929,622,692	206,997	351,486,398,032	928	1,856,242,946	46,334,813,232	132,816	2,295,413,695	0	9,576,387,946	70,148,448	148,542,352
						1						

All times (total, wait and idle) increased, but the number of wakeups remained about the same

Now (as the average idle time increased) the system spends up to 25% of the idleness in C7

Raw Data (Win8, plugged)

Elapsed Time: [®]	24.352s 🗎	\leq	The workload runs faster under Win8
CPU Time: 💿	68.496s		
Paused Time: 💿	0s		

🕟 Hardware Events 🗈

Hardware Event Type	Hardware Event Count		CC residency are 2 times charter
C3 Residency	13,655,284		C6 residency are 3 times shorter
C6 Residency	107,085,091		
CPU_CLK_UNHALTED.REF_TSC	116,443,732,406		
CPU_CLK_UNHALTED.THREAD	164,803,869,080	$ \prec $	CPU frequency boost ~1.4x
Energy Core	2,992,657,552		
Energy GFX	29,766,464	(
Energy Pack	3,830,875,456		Consumes less energy than under Win7
INST_RETIRED.ANY	169,522,425,516		
Idle Time	14,697,982,528		
Idle Wakeup	131,608		About the same wakeup rate
Inactive Time	5,688,867		About the sume wateup rate
Preemption Context Switches	96		
Synchronization Context Switches	135,788		150x forwar presentional
Wait Time	109,183,633,418		150x fewer preemptions!

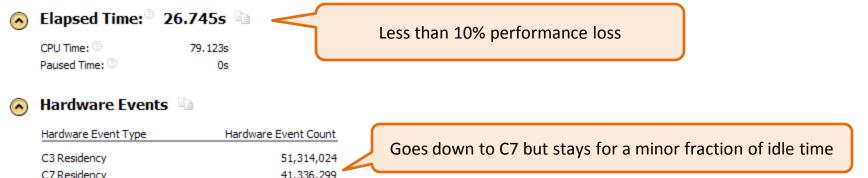
Raw Data (Win8, plugged)

Lower preemption and wakeup rate on computational hotspots

Evention (Coll Stack	Hardware Event Count														
Function / Call Stack	CPU_CLK_UNHALTED.REF	Synchronization	Wait Time	Preemption	Inactive Time	Idle Time	Idle Wakeup	C3 Residency	C6 Residency	C7	Energy Core	Energy Pack			
± smvp	65,639,877,454	67	44,460,225	44	2,602,580	94,395,484	51	0	0	0	487,146,688	627,699,456			
⊞main	35,461,767,134	75	53,885,710	25	1,118,420	463,159,994	53	0	0	0	248,825,968	317,258,256			
⊕phi0	2,853,363,113	8	6,646,765	2	97,193	70,569,916	6	0	0	0	21,559,232	27,505,440			
⊕phi1	2,333,129,113	7	4,266,551	1	34,992	1,169,381	6	0	0	0	15,826,672	19,953,488			
+ sin	2,320,403,899	6	4,508,294	2	55,354	7,556,239	1	0	0	0	19,763,808	24,357,760			
⊕phi2	2,156,482,725	5	3,686,998	0	0	2,265,369	2	0	0	0	13,930,112	17,477,808			
+ cos	1,151,186,314	3	2,060,889	4	188,665	5,577,843	3	0	0	0	7,968,400	9,839,920			
⊡ [wow64cpu.dll]	865,063,989	135,345	108,875,907,210	18	1,591,663	13,922,381,309	131,231	5,018,944	61,139,718	0	2,163,098,368	2,768,271,904			
□ ^K WaitForSingleObjectEx	732,920,541	134,351	108,760,371,179	16	1,548,002	13,772,361,544	130,265	4,040,050	50,515,330	0	161,800,992	206,871,600			
🗄 🔨 WaitForSingleObject	732,920,541	134,350	108,760,361,126	16	1,548,002	13,772,332,767	130,264	4,040,050	50,515,330	0	161,800,992	206,871,600			

Worse C-state residency at a similar wakeup rate and average idle time as in Win7

Raw Data (Win8, unplugged)



Hardware Event Type	Hardware Event Count	Constitute to CZ but atoms from a minor for sting of idle time.
C3 Residency	51,314,024	Goes down to C7 but stays for a minor fraction of idle time
C7 Residency	41,336,299	
CPU_CLK_UNHALTED.REF_TSC	134,509,648,726	Still at frequency baset of Dy
CPU_CLK_UNHALTED.THREAD	162,698,813,028	Still at frequency boost ~1.2x
Energy Core	2,758,095,744	
Energy GFX	30,801,200	Saving ~8% of core and ~4% of total CPU energy
Energy Pack	3,667,112,480	
INST_RETIRED.ANY	169,543,049,760 🥌	(compared with the plugged state)
Idle Time	16,475,971,315	
Idle Wakeup	132,356	
Inactive Time	21,891,714	Similar wakeup rate
Preemption Context Switches	126	
Synchronization Context Switches	136,762	
Wait Time	117,014,561,795	Preemptions increased proportionally to the total time

Raw Data (Win8, unplugged)

Evention (Coll Shade	Hardware Event Count											
Function / Call Stack	CPU_CLK_UNHALTED.REF	Synchronization	Wait Time	Preemption	Inactive Time	Idle Time	Idle Wakeup	C3 Residency	C6	C7 Residency	Energy Core	Energy Pack
±smvp	77,874,931,355	77	48,628,685	47	2,345,803	194,806,417	79	0	0	0	476,003,472	637,084,928
⊞main	39,895,663,002	95	68,079,868	33	1,301,617	78,110,749	81	0	0	0	234,958,688	310,592,544
±phi0	3,214,308,133	13	11,637,321	3	127,504	5,977,985	8	0	0	0	18,366,192	24,252,528
±sin	2,412,673,337	4	3,110,470	0	0	3,555,254	4	0	0	0	18,584,400	23,320,352
∃phi1	2,561,238,190	7	5,599,290	3	116,610	93,302,012	. 4	0	0	0	14,850,992	19,331,488
±phi2	2,294,957,483	6	4,260,051	3	143,578	3,853,087	7	0	0	0	12,816,944	16,555,808
± cos	1,199,322,664	5	3,665,838	1	42,664	586,782	4	0	0	0	7,639,552	9,616,752
⊡ [wow64cpu.dll]	1,043,353,104	136,270	116,839,871,998	34	17,725,818	16,038,320,123	131,899	51,314,024	0	18,345,210	1,960,873,840	2,607,995,984
🖃 🔨 WaitForSingleObjectEx	929,222,040	135,246	116,713,157,019	31	17,343,833	15,874,186,902	130,899	50,294,092	0	16,145,189	138,339,456	184,956,784
	929,222,040	135,243	116,713,122,920	31	17,343,833	15,874,103,881	130,897	50,294,092	0	16,145,189	138,339,456	184,956,784

C3 residencies are higher than C7, and still much worse than Win7

Active Power Analysis

PIL	igged
1.	Both systems use CPU frequency
	boost.
2.	Win8 is 8% faster than Win7.

- 3. Win7 has 150x higher preemption context switch rate.
- 4. Win8 consumes 30% less energy.

Win8 scheduler looks more efficient and seems to be the reason for better performance and power savings.

Unplugged

- 1. Win8 is 2.92x faster than Win7.
- 2. Win8 consumes 28% less energy.
- 3. Win8 preemption context switch rate is 370x lower.
- 4. Win7 decreases CPU frequency 2.12x
- 5. Win8 runs at 1.2x frequency boost
- Win7 gains 58% of core energy savings vs. Win8, but loses in the total CPU energy savings.

Idle Power Analysis

 Both systems do not go deeper than C6. Both go to C-states for synchronization functions only (when ready thread queues are empty). Win7 stays in C-states (C3/C6) up to 5 times longer. Both systems go down to C7 skipping C6. Win7 spends up to 25% of idle time in C7. Win8 spends well under 1% in C7. The rate of idle wakeups is approximately the same. 	Plugged	Unplugged
	 C6. 2. Both go to C-states for synchronization functions only (when ready thread queues are empty). 3. Win7 stays in C-states (C3/C6) up to 5 	 C6. Win7 spends up to 25% of idle time in C7. Win8 spends well under 1% in C7. The rate of idle wakeups is

Comments on C-state residencies (measured for inactive workloads):

- a) both systems tend to spend the idle time almost entirely in C-states: C6 when plugged to the power source, C7 when running on battery;
- b) Win8 tends to spend more time in C3;
- c) Win7 tends to utilize more idle time and stays more than 90% of idleness in low-power states;
- *d)* high idleness utilization starts when the average idle time before a wakeup comprises **hundreds of millions** of clock ticks.

Battery Life Analysis

- Conventional Battery Life = *time-of-1%-discharge* * 100
 - Measured in the same charge range (90%-80%)
- Win8: 100 minutes
- Win7: 250 minutes

Win7 lasts 2.5x longer, but remember the workload runs almost 3x slowe	

Comparison Summary Idleness Efficiency: In case of increased idle time of Win7 5E+10 (unplugged), C-residency improves to 25% 4.5E+10 4E+10 3.5F+10 3E+10 The difference in the Win7, plugged 2.5E+10 synchronization profile is Win7, unplugged 2E+10 comparatively small, but the Win8, plugged 1.5E+10scheduler impact of Win8 is Win8, unplugged 1E+10 invisible! 5E+09 250000 0 C3 C6 C7 Idle Time Idle 200000 **Residency**Residency Wakeup 150000 ■ Win7, plugged Win7, unplugged 100000 Win8, plugged Synchronization and Win8, unplugged 50000 Scheduler Impact: 0 **Preemption Context** Synchronization Context

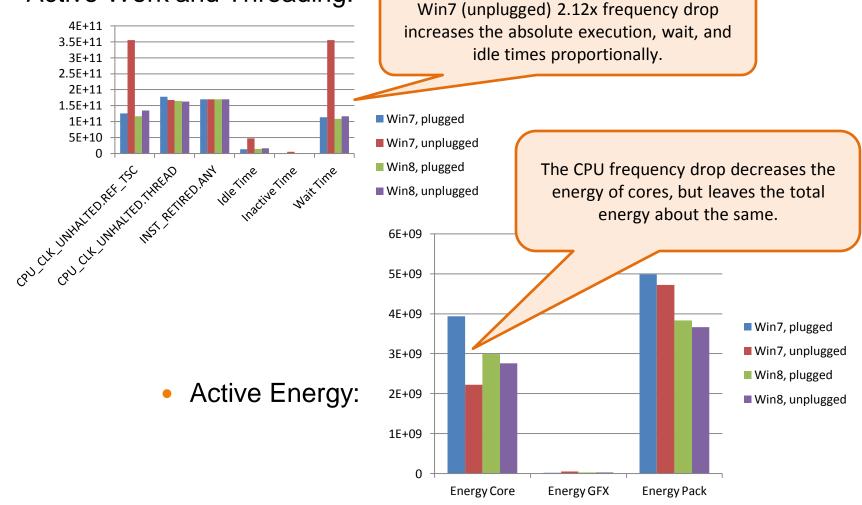
Switches

Switches

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Comparison Summary





Comparison Summary

• Win7 is currently more efficient at sleeping than Win8

Win7 may spend up to **100 times longer in C7** state while idle!

Win8 is best for active workloads

Suppose we encode video and it takes us **1 hour on Win8** and completely drains the battery. The same task will deplete the battery in **2h 30 min on Win7**, but we'll **still need 30 minutes more!**

Conclusions and Suggestions

- Lowering CPU frequency is good for cooling efficiency
 - The workload consumes about the same energy but runs longer (<Watts)
- Lowering CPU frequency is bad for active workloads which run to completion
 - More slowdown than power savings
- Lowering CPU frequency may be good for periodic workloads that consume less than 50% of CPU
 - Need SW assistance or a special scheduler to detect that
- Going to sleep is always good
 - Need to measure the actual benefits (in Joules)
- Lower OS scheduler intrusion is key to higher performance and power savings